


**Computershare**


Computershare  
PO Box 43084  
Providence, RI 02940-3084  
Within USA, US territories & Canada 888 663 8325  
Outside USA, US territories & Canada 201 680 6612  
Hearing Impaired (TDD) 201 680 6610  
www.computershare.com/ups

Name of Current Shareowner(s)

**For UPS Next Day Air:**

UPS

c/o Computershare  
150 Royall Street, Suite 101  
Canton, MA 02021

Address

**Computershare Holder Account Number**

City, State, Zip

**OR**

Taxpayer Identification Number

## Form 2001 Request for Transfer within UPS Class A Stock

### SECTION 1

**Shareowner Instructions:** Use this form to request and authorize Computershare to transfer UPS Class A shares, registered to an Active UPS Employee, to a Permitted Transferee. Please return this completed form to the address printed above. If you are transferring to more than one account, please use a separate form for each transfer.

**Rules of Transfer are located on the back of this form.**

### SECTION 2

**Shareowner Instructions:** List the receipt and/or certificate numbers and the total number of shares to be transferred below. Failure to provide the required information will result in the rejection of your request.

The total number of Class A shares you wish to have transferred:

**To transfer your shares, you are required to list the receipt and/or certificate numbers below.**

	Receipt/Certificate Numbers	Shares to be Transferred
1		
2		
3		
4		
5		
6		

	Receipt/Certificate Numbers	Shares to be Transferred
7		
8		
9		
10		
11		
12		

☐ Check this box if physical certificates are enclosed and list the certificate numbers and/or receipts and total shares to be transferred above.

### SECTION 3

**Shareowner Instructions:** To transfer ownership, write the name, address and relationship to the current owner. If the transfer is due to death, a copy of the death certificate(s) of the deceased shareowner(s), court appointment of the Estate Representative, dated within 60 days (6 months for New York decedent), and tax waiver (if applicable) must accompany this form. If changing the named custodian or transferring shares to a new or existing Custodial Account, please see instructions on the back of this form. If shares are being transferred into an IRA account, IRA Provider must complete this section and acknowledge assignment below.

☐ Custodian Account  
State of:

☐ Trust Account (must include a  
complete copy of the trust agreement)

☐ Individual Account

☐ Joint Account with Spouse

☐ Death/Estate Account

☐ IRA Account (to be completed by IRA  
Provider ONLY and signed below)

E 9 U P S

U P S



☐ Gift      Date of Gift:      \_\_\_\_/\_\_\_\_/\_\_\_\_      (Gift date applies to certificates only)

☐ Death      Date of Death:      \_\_\_\_/\_\_\_\_/\_\_\_\_      Value per Share:      USD \_\_\_\_\_.\_\_\_\_

☐ None of the above: \_\_\_\_\_ (Please specify)

Account Registration - First Name

[illegible]

Middle Initial



Last Name

[illegible]

Mailing Address

[illegible][illegible][illegible]

City, State, Zip

[illegible]Relationship  
to Current  
Owner[illegible]Transferee's  
Tax Identification  
Number

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*Substitute W-9 & W-8BEN  
are available on pages 5  
and 7 of this form.*

**Important — Required Signature Section below must be completed and submitted with this form**

**Required Signatures: Current Shareowner(s)** — must sign this form and obtain one of the following: A Medallion Signature Guarantee or notarized by a Notary Public.

**Shareowner(s) Signature**

### Medallion Signature Guarantee

**Notary Public**

Signature of current Shareowner / Date

Signature of qualified financial institution & affix stamp

Signature of Notary Public & affix stamp

Signature of current co-owner / Date

Date Stamped

Date Notarized \_\_\_\_\_

**IRA PROVIDER ACCEPTANCE:** IRA Provider please acknowledge acceptance of UPS Class A IRA Shares:

Signature of IRA Provider

Date \_\_\_\_\_

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Phone**IRA PROVIDER — RETAIN A COPY OF THIS FORM FOR YOUR RECORDS**

**Information and Instructions**

1. This form is to be completed to transfer UPS Class A shares to UPS Class A Shares. No action will be taken unless you validly complete all required information. Should you omit any information, you will be notified by a reject letter that no action has taken place and will be required to complete a new form.
2. You must convert to UPS Class B shares if you wish to transfer shares to a Non-Permitted Transferee. Generally, Non-Permitted Transferees are anyone other than the following list of Permitted Transferees:
  - a) Your Spouse or children
  - b) Trust for sole benefit of yourself, your spouse, or children
  - c) Your estate
3. If shares are transferred to a Permitted Transferee, the Permitted Transferee must have a W-9 or W-8BEN (international) on file at Computershare or submit the completed Substitute W-9 or W-8BEN form on pages 5 and 7. Otherwise, the transferee will be subject to Federal Backup Withholding.
4. If you are changing the named custodian on your existing UPS Class A Custodial Account, in section 3 under "New Account Registration" write the new custodian name, the name of the minor child, the appropriate State Under the Uniform Transfers to Minor Act (UTMA), and the mailing address. If a new custodian has been named due to death, a copy of the death certificate of the deceased custodian and certificate of court appointed custodian must accompany this form. If the minor is over 14 years old, the birth certificate of the minor and a notarized letter by the minor appointing the new custodian is acceptable in lieu of certificate of court appointed custodian. To transfer shares to a new or existing Custodial Account (with no name change), in Section 3 under "New Account Registration", write the custodian name, the name of the minor child, the appropriate State Under the Uniform Transfers to Minor Act (UTMA), and the mailing address.
5. If you are transferring shares into an IRA, please have your IRA Provider complete the transfer instructions in Section 3 and sign the form in Section 4 acknowledging acceptance of the UPS Class A Shares into the IRA. The IRA Provider should retain a copy of this form for their records.
6. If you are transferring ownership of UPS Class A shares that are held in certificate form, the UPS Class A Certificate must be surrendered to Computershare with this form.
7. You may elect to transfer less than all of the UPS Class A shares represented by the first receipt number you have listed. With respect to all other receipt numbers listed, all of the UPS Class A shares represented by those receipt numbers will be transferred. You must list sufficient receipt/certificate numbers to cover the number of shares in your transfer request.
8. If you have any questions, please contact Computershare toll free number 1-888-663-8325 or visit our website [www.computershare.com](http://www.computershare.com).
9. No hypothecated, enjoined or otherwise Restricted Class A shares can be transferred.
10. For transfers due to death, Date of Death will default to the date that the transfer is processed unless provided. If we receive documentation (e.g., death certificate) with the transfer instructions, date of death will default to the date indicated in the document. For transfers due to death, the Value per Share is required to determine the cost basis to be applied per beneficiary. For gifts, you have the option of providing a gift date for certificates; if the Gift Date is not provided, it will default to the date that the transfer is processed. For book entry shares, the gift date will always be the date that the transfer is processed. If you select "None of the above," the existing cost basis will be carried over to the new account. You may wish to consult with your tax advisor on the definition and tax implications for each type of transfer.

**Important Tax Information:** Under current federal income tax law, UPS shareowners are required by law to provide the Transfer Agent with their correct Taxpayer Identification number (e.g. their social security number or employee identification number) on a W-9 or W-8BEN form. Stockholders may be subject to a \$50.00 penalty imposed by the Internal Revenue Service (IRS) and any dividends paid to such shareowners by Computershare (as agent for UPS) may be subject to backup withholding.

The Transfer Agent is required to withhold at the IRS mandated applicable percentage rate of any interest and dividends which are paid to UPS shareowners for whom backup withholding applies. Backup withholding is not an additional tax. Persons subject to backup withholding will be allowed to recognize the amount as a payment of tax returns. If withholding results in an overpayment of taxes, a refund may be obtained from the IRS. TO PREVENT BACKUP WITHHOLDING, UPS SHAREOWNERS SHOULD COMPLETE AND SIGN THE SUBSTITUTE W-9 OR W-8BEN ON PAGES 5 AND 7. Certain shareowners, including among others, all corporations and certain foreign individuals are not subject to these backup withholding and reporting requirements. Foreign individuals must submit a statement, signed under penalties of perjury, to the Transfer Agent (a form of which the Transfer Agent will provide upon request) attesting to the individual's exempt status.

**Internet Instructions:** You may certify your Tax Identification Number on the Internet at [www.computershare.com](http://www.computershare.com), by selecting Employee Online. Please do not fill in this Substitute W-9 form if you certify your Tax Identification Number on the Internet.






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Within USA, US territories &amp; Canada 800 758 4674

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www.computershare.com/ups

Name

Address

City, State, Zip

For UPS Next Day Air:

UPS

c/o Computershare

150 Royall Street, Suite 101

Canton, MA 02021

Holder Account Number

Taxpayer Identification Number

Use black ink. Print in CAPITAL letters inside the grey areas as shown in this example.

A	B	C	1	2	3	X
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## Form W-9 Request for Taxpayer Identification Number and Certification

### Dear Shareholder:

Our records indicate that your U.S. Social Security Number or Employer Identification Number is not certified. If this Form W-9 is not completed and returned, your account may be subject to backup withholding at the applicable tax rate on all dividends and sale proceeds. For joint tenant accounts, the TIN provided must belong to the first owner listed above to avoid backup withholding.

### A. Taxpayer Identification Number (TIN)

Enter your TIN for the above registered name and address in the appropriate box. For individuals, this is your Social Security number (SSN). For other entities, it is your Employer Identification Number (EIN). COMPLETE ONLY ONE BOX.

Social Security Number

Employer Identification Number

OR

### B. Federal Tax Classification

Check appropriate box (required); check only ONE of the following boxes:

☐ Individual

☐ C Corporation

☐ S Corporation

☐ Partnership

☐ Trust/  
Estate

#### Limited Liability Company (LLC) or Partnership, Trust/Estate or other classification

Do not use this form if you are an LLC, or if you are a Partnership, or Trust/estate with any foreign partners, owners, or beneficiaries, and you are providing this form to a partnership, trust, or estate. You must complete an IRS Form W-9. This form can be found on the IRS website at [www.irs.gov](http://www.irs.gov).

### C. Exempt Payee Code (if any)

If you are exempt from backup withholding, enter in the Exemptions box, any code that may apply to you. See Exempt payee codes on the back of this form.

Exemption from FATCA reporting code (if any)

**Not Applicable**

(Applies to accounts maintained outside the U.S.)

### D. Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct Taxpayer Identification Number, and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined on reverse).
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct (defined on reverse).

**Certification Instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

Signature of U.S. Person (please keep signature within the box)

Date (mm/dd/yyyy)

/ /

E 2 8 U W 9



## How to complete this form

### Backup Withholding

The Internal Revenue Service (IRS) requires us to withhold taxes for the applicable rate of backup withholding for U.S. persons without a W-9 tax certification who are not otherwise exempt. Parties acting as disbursement agents must withhold and pay to the IRS the applicable tax rate of such payments under certain conditions. This is called "backup withholding." Payments that may be subject to backup withholding include interest, dividends, broker and barter exchange transactions, and royalties. Supplying us with your correct Taxpayer Identification Number (TIN), and signing this form will generally allow you to receive your payments without being subject to backup withholding. Failure to supply your TIN, or supplying us with an incorrect TIN, could result in a \$50.00 penalty being assessed by the IRS.

Receipt of a completed Form W-9 will discontinue backup withholding unless otherwise required.

#### What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor
4. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee
b. So-called trust account that is not a legal or valid trust under state law	The actual owner
5. Sole proprietorship or disregarded entity owned by an individual	The owner
6. Grantor trust filing under Optional Filing Method 1 (see Regulation section 1.671-4(b)(2)(i)(A))	The grantor
For this type of account:	Give name and EIN of:
7. Disregarded entity not owned by an individual	The owner
8. A valid trust, estate, or pension trust	Legal entity
9. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
10. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
11. Partnership or multi-member LLC	The partnership
12. A broker or registered nominee	The broker or nominee
13. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
14. Grantor trust filing under the Form 1041 or the Optional Form 1099 Filing Method 2 (see Regulation section 1.671-4(b)(2)(i)(B))	The trust

**Exempt payee code.** Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, including interest and dividends. Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.

**Note.** If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following codes identify payees that are exempt from backup withholding:

- 1 – An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2 – The United States or any of its agencies or instrumentalities other than an account maintained by an FFI
- 3 – A state, the District of Columbia, a U.S. commonwealth or territory, or any of their political subdivisions or instrumentalities
- 4 – A foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5 – A corporation
- 6 – A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States
- 7 – A futures commission merchant registered with the Commodity Futures Trading Commission
- 8 – A real estate investment trust
- 9 – An entity registered at all times during the tax year under the Investment Company Act of 1940
- 10 – A common trust fund operated by a bank under section 584(a)
- 11 – A financial institution as defined under section 581
- 12 – A middleman known in the investment community as a nominee or custodian
- 13 – A trust exempt from tax under section 664 or described in section 4947

**Definition of a U.S. Person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations Section 301.7701-7).

**Exemption from FATCA reporting:** If you are submitting this form for an account that is maintained in the United States, you are exempt from FATCA reporting.

**Please return this completed form to the address listed on the first page in the top right corner.**

#### DID YOU KNOW?

You can certify your account online by visiting us at the website on the reverse side of this form. While online, join the thousands of shareholders that have signed up for electronic delivery!


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UPS

c/o Computershare

150 Royall Street, Suite 101

Canton, MA 02021

**Name of Beneficial Owner and Mailing Address**

Name

Address

City, State, Zip

Taxpayer Identification Number

Use a black pen. Print in CAPITAL letters inside the grey areas as shown in this example.

A B C

1 2 3

X

**Form W-8BEN Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)** (Rev. October 2021) Dept. of U.S. Treasury

OMB No. 1545-1621

**Part I. Identification of Beneficial Owner (see instructions on reverse)**

*The mailing address we have on file is shown above. If your permanent residence address is different than your mailing address, please provide it below.*

Permanent Residence Address (street, apt. or suite no., or rural route)

City or Town/State or Province/Postal Code

Country

U.S. Taxpayer Identification Number (SSN or ITIN), if required (see instructions)

Country of citizenship

Foreign Taxpayer Identification Number (TIN) (see instructions)

Date of birth (mm/dd/yyyy) (see instructions)

Reason foreign taxpayer identification number (TIN) not provided (see instructions)

FTIN not legally required

Other (Provide Reason)

**Part II. Claim of Tax Treaty Benefits (for Chapter 3 purposes only) (see instructions)**

1. Individuals claiming a reduced treaty rate must enter country of residence.

2. Beneficial owners claiming a special withholding rate — if applicable, must complete an IRS Form W-8BEN. See "Special Rates and Conditions" on the back of this form for more information.

9 I certify that the beneficial owner is a resident of the country at right, within the meaning of the income tax treaty between the United States and that country.

Country

**Part III. Certification -- Sign Here**

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;
- The beneficial owner on this form is not a U.S. person;
- This form relates to:

- (a) income not effectively connected with the conduct of a trade or business in the United States;
- (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
- (c) the partner's share of a partnership's effectively connected taxable income; or
- (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);

- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.

Date (mm/dd/yyyy)

Signature of beneficial owner or authorized signatory of beneficial owner

Print name of signer

Capacity in which acting (if form not signed by beneficial owner)

Send form to Computershare. Do not send to the IRS (see reverse for mailing instructions).

E 3 9 U W 8

# Form W-8BEN Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

(Section references are to the Internal Revenue Code)

## Do NOT use this form if:

- You are NOT an individual..... W-8BEN-E
- You are a U.S. citizen or other U.S. person, including a resident alien individual ..... W-9
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the U.S. (other than personal services)..... W-8ECI
- You are a beneficial owner who is receiving compensation for personal services performed in the United States ..... 8233 or W-4
- You are a person acting as an intermediary ..... W-8IMY

## Instead, use Form:

**Note:** If you are a resident in a FATCA partner jurisdiction (i.e., a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

## Purpose of this form:

The United States Internal Revenue Service requires that all non-resident alien investors certify their foreign status by completing Form W-8BEN. A Form W-8BEN is also required to claim, if applicable, a reduced rate of withholding as a resident of a foreign country with which the United States has an income tax treaty. Failure to provide a completed Form W-8BEN may result in the imposition of a U.S. backup withholding tax on all payments to your account.

## Permanent Residence Address

Your permanent residence address is the address in the country where you claim to be a resident for purposes of that country's income tax. If you are completing Form W-8BEN to claim a reduced rate of withholding under an income tax treaty, you must determine your residency in the manner required by the treaty. Do not show the address of a financial institution, a post office box, or an address used solely for mailing purposes. If you do not have a tax residence in any country, your permanent residence is where you normally reside.

## Country of Citizenship

Enter your country of citizenship. If you are a dual citizen, enter the country where you are both a citizen and a resident at the time you complete this form. If you are not a resident in any country in which you have citizenship, enter the country where you were most recently a resident.

## Reason Foreign Taxpayer Identification Number (TIN) Not Provided

If foreign Taxpayer Identification Number (TIN) is not provided, you must enter a reason.

## U.S. Taxpayer Identification Number (TIN)

If applicable, enter your U.S. Social Security Number or your U.S. Individual Taxpayer Identification Number. Do not enter your Canadian Social Insurance Number or your Australian Tax File Number.

## Capacity in which acting

If this form is completed by an agent acting under a duly authorized Power of Attorney, the form must be accompanied by the Power of Attorney in proper form or a copy thereof.

## Foreign Taxpayer Identification Number (TIN)

If you are providing this Form W-8BEN to document yourself with respect to a financial account that you hold at a U.S. office of a financial institution, provide the Taxpayer Identification Number (TIN) issued to you by your jurisdiction of tax residence unless: You have not been issued a TIN, or the jurisdiction does not issue TINs. If you have not provided your jurisdiction of residence TIN, provide your reason in the box below.

## Date of Birth

If you are providing this Form W-8BEN to document yourself with respect to a financial account that you hold with a U.S. office of a financial institution, provide your date of birth. Use the following format to input your information: MM-DD-YYYY.

## Claim of Treaty Benefits

Enter your country of residence if you qualify as a resident under the terms of the treaty.

## Date

Please date the form in the format (mm/dd/yyyy).

## Signature

If the form is not returned with a signature, the form will be treated as invalid and reportable payments will be subject to backup withholding.

## Special Rates and Conditions

If applicable, a beneficial owner must complete a government issue (IRS) Form W-8BEN and submit such original signed form to us at the address shown below. When completing the IRS Form W-8BEN, be sure to include the information required by Part II, Line 10 on such form. This form can be found on the IRS website [www.irs.gov](http://www.irs.gov). An example of a beneficial owner who might qualify for a special rate under the treaty is claiming a special rate applicable to dividends based on ownership of a specific percentage of stock.

## Joint Ownership

**Each beneficial owner of a joint account must complete and return a separate Form W-8BEN. Each form must be individually signed in order to be valid.**

Please do not submit any other maintenance or financial requests within the envelope provided.

Return your completed form in the enclosed envelope or mail to Computershare Tax Operations, PO Box 43084, Providence, RI 02940-3084, USA

## DID YOU KNOW?

You can certify your account online by visiting us at the website on the reverse side of this form. While online, join the thousands of shareholders that have signed up for electronic delivery!